

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Kevin A. Wanasek

Serial No.:

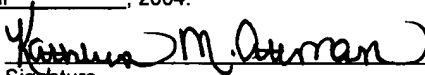
Apparatus and Method for Delivering Multi-Directional Defibrillation Waveforms

10/804,322

Filed:

March 19, 2004

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22<sup>nd</sup> day of April, 2004.

  
\_\_\_\_\_  
Signature  
Kathleen M. Altman  
\_\_\_\_\_  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies of the 1<sup>st</sup> pages of the cited references
- ☒ Return Postcard

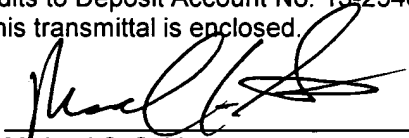
**FEE CALCULATION**

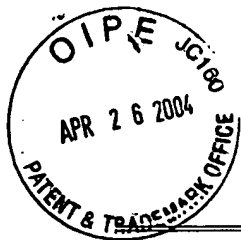
- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

April 22, 2004

Date

  
\_\_\_\_\_  
Michael C. Soldner  
Reg. No. 41,455  
Telephone: (763) 514-4842  
Customer No. 27581



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kevin A. Wanasek ) Art Unit: Unknown  
Serial No.: 10/804,322 ) Examiner: Unknown  
Filed: March 19, 2004 ) Docket: P-11455.00

For: METHOD AND APPARATUS FOR DELIVERING MULTI-DIRECTIONAL  
DEFIBRILLATION WAVEFORMS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

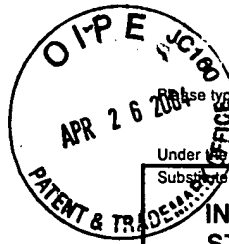
Date:

April 22, 2004

Respectfully submitted,

By:

Michael C. Soldner  
Reg. No. 41,455  
Telephone: (763) 514-4842  
Customer No. 27581



Please type a plus sign (+) inside this box → ☐

PTO/SB/O8A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		<b>Application Number</b>	10/804,322
		<b>Filing Date</b>	March 19, 2004
		<b>First Named Inventor</b>	Kevin A. Wanasek
		<b>Group Art Unit</b>	Unknown
		<b>Examiner Name</b>	Unknown
		<b>Attorney Docket Number</b>	P11455.00
<b>Sheet</b>	1 of 1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite <sup>1</sup> No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	6,647,292	B1	Bardy, et al.	11/11/2003	
	AB	2002/0095187	A1	Thompson, et al.	07/18/2002	
	AC	6,091,988		Warman, et al.	07/18/2000	
	AD	5,653,740		Degroot, et al.	08/05/1997	
	AE	5,468,254		Hahn, et al.	11/21/1995	
	AF	5,411,524		Rahul	05/02/1995	
	AG	5,188,105		Keimel	02/23/1993	
	AH	5,163,427		Keimel	11/17/1992	
	AI	5,117,824		Keimel, et al.	06/02/1992	
	AJ	5,107,834		Ideker, et al.	04/28/1992	
	AK	4,998,531		Bocchi, et al.	03/12/1991	
	AL	4,953,551		Mehra, et al.	09/04/1990	
	AM	4,949,719		Pless, et al.	08/21/1990	
	AN	4,932,407		Williams	07/12/1990	
	AO	4,850,357		Bach	07/25/1989	
	AP	4,830,006		Haluska, et al.	05/16/1989	
	AQ	4,727,877		Kallok	03/01/1988	
	AR	4,726,380		Vollmann, et al.	02/23/1988	
	AS	4,708,145		Tacker, et al.	11/24/1987	
	AT	4,641,656		Smits	02/10/1987	
	AU	4,587,970		Holley, et al.	05/13/1986	
	AV	4,384,585		Zipes	05/24/1983	
	AW	4,316,472		Mirowski, et al.	02/23/1982	

FOREIGN PATENT DOCUMENTS								
Examine r Initials*	Cite <sup>1</sup> No.	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite <sup>1</sup> No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

<b>Examiner Signature</b>	<b>Date Considered</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.